

**UNX Secures Funding to Accelerate Open Technology Offerings, Global Expansion and Multi Asset Class Trading; Broadhaven Capital Partners and Matthew Pritzker Company along with existing investors participate in new round**

UNX LLC; PR Newswire  
24 May 2010

NEW YORK, May 24 /PRNewswire/ -- UNX LLC, a leading provider of open and innovative trading technology solutions, has secured strategic investments that will allow it to offer multi-asset class trading and expand into global capital markets.

New investors Broadhaven Capital Partners and Matthew Pritzker Company join existing investors Goldman Sachs, UBS Investment Bank and Vernon & Park Capital, to lead the new round of funding.

The news follows the recent announcements that UNX enhanced the portfolio and single-stock trading capabilities of its Catalyst® EMS, and integrated broker algorithms, portfolio trading and other electronic trading services into the Catalyst Portal and EMS. Recent broker-dealer integrations include offerings from Girard Securities, Goldman Sachs Electronic Trading, Knight, Mizuho Securities USA, Rosenblatt Securities, and UBS Investment Bank.

UNX will use the funding, in part, to develop electronic trading capabilities for options and futures and extend its order routing and trading network into Europe, according to UNX CEO Thomas Kim.

"This latest investment demonstrates immense confidence in the UNX business model and value proposition—that of providing an open-technology EMS in tandem with a distribution portal, enabling the delivery of truly customized trading solutions," Kim states. "By expanding into new asset classes and new markets, we can solidify our position as a leader in broker-neutral, next-generation technology that fosters a collaborative environment within the capital markets trading community."

"The concept of an independent, multi-broker EMS and portal that allows institutional clients, broker-dealers and vendors to integrate their own specific offerings is resonating with a growing number of electronic trading professionals," states Gerard von Dohlen, co-founder of Broadhaven Capital Partners. "We see great opportunities in growing Catalyst both beyond equities and outside the U.S."

For Matthew Pritzker Company, its investment is justified by UNX's strong and seasoned management. "We like to focus on businesses with a proven model as well as the expertise to use capital judiciously and efficiently," explains Matthew Pritzker, Chairman. "The members of the UNX management team are capable industry veterans with a successful track record of introducing profitable, market-leading technologies."

#### About UNX LLC

Founded in 1999, UNX is an independent trading technology firm and agency broker that provides advanced electronic trading technology solutions for the institutional trading community through its open-architecture platform, Catalyst®. UNX has offices in New York and Los Angeles.

#### About Catalyst®

Catalyst is an electronic trading portal and broker-neutral execution management system (EMS) for single stock and portfolio trading for institutional clients. Built on open/modular architecture using the latest technology, Catalyst allows buy-side institutions and sell-side broker-dealers to create customized solutions using the UNX Software Development Kit (SDK). An independent offering, Catalyst streamlines multi-broker trading workflow and serves as an efficient delivery mechanism for broker-dealers and third-party vendors to distribute and update their offerings to clients.