

## **UNX Receives New Funding For Continued Development of Catalyst® Trading Platform and Global Expansion of Catalyst Marketplace**

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NEW YORK, June 16, 2011 /PRNewswire/ -- UNX LLC (www.unx.com), a leading provider of open and innovative trading technology solutions, has received a new round of funding from existing investors Broadhaven Capital Partners, Goldman Sachs, Matthew Pritzker Company and Vernon & Park Capital, as well as from a select group of private investors.

The financing will allow UNX to comfortably extend its leadership as the sole global provider of extensible, broker-neutral, open-architecture trading software that enables clients to integrate or build exactly what they need at their discretion. The UNX Catalyst Execution Management System (EMS) and Software Development Kit (SDK) allow institutional trading participants and third-party vendors to develop their own Catalyst plug-ins and extend services to a broader client base via the UNX Marketplace.

"This is an outstanding affirmation of ongoing investor confidence in the UNX business model and value proposition—that of providing a flexible technology framework and tools in tandem with a global distribution portal and order routing network," states UNX CEO Thomas Kim.

"Not only does this latest funding augment our balance sheet for ongoing product build-outs, but it will allow us to quickly take advantage of opportunities we're seeing in Latin America, Canada and other growing international markets," he explains.

Kim adds that UNX is currently collaborating with a major broker to deliver customized electronic trading solutions for Mexican and Latin American markets, and expects to announce details shortly.

UNX continues to integrate algorithms, portfolio trading and other brokerage electronic trading services into the Catalyst platform. Among some of the most recent broker-dealer integrations are the latest offerings from Credit Suisse AES, Fidelity Capital Markets, Goldman Sachs Electronic Trading, Knight and UBS Investment Bank.

UNX also is working with third-party vendors who wish to distribute their offerings via the Catalyst Marketplace for use by Catalyst clients. New Marketplace participants include QSG, International Class Actions Management (ICAM) and Titan Trading Analytics.

### About UNX LLC

Founded in 1999, UNX is an independent trading technology firm and agency broker that provides advanced electronic trading technology for the institutional trading community through its open-architecture platform Catalyst®. A broker-neutral offering, Catalyst streamlines multi-broker trading workflow and serves as an efficient delivery mechanism for broker-dealers and third-party vendors to distribute and update their offerings to clients. UNX has offices in New York and Los Angeles.